

James H. Cottrell, Jr. (“Chip”)

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Chip Cottrell, a retired Partner with Deloitte (June 2017), has extensive global experience in an array of operations, consulting and accounting, including forensics. He is a Certified Public Accountant in the USA and China, a UK Chartered Accountant, and a Chartered Global Management Accountant.

Built on a foundation of accounting and audit experience in the “Big Four”, Cottrell has over 40 years focused on ethics, governance and compliance while leading corporate transitions, investigating fraud, waste and abuse as well as conducting asset recoveries at a wide range of organizations and institutions - including global manufacturing, aerospace and defense, energy, technology, transportation and most recently, public sector entities. An experienced practitioner in areas such as resiliency consulting, vendor fraud/compliance, litigation support, anticorruption, anti-money laundering and corporate transition/recovery, he has also led a wide range of successful high profile forensic investigations.

A veteran specialist in global operations, finance and trade, Mr. Cottrell is also an experienced business executive and consultant. He has led and/or advised in the founding, development, positioning, and/or turnaround of companies in North America, Africa, the Middle East and Asia. Cottrell has lived and worked for over 20 years in Europe and Asia and has had broad hands-on experience with respect to alliances, partnerships, acquisitions, liquidations and reorganizations. Clients have included Fortune 100 companies and medium sized enterprises as well as sovereign nations and international NGO’s. His experience includes engagement as a consulting expert in litigation, arbitration, mediation and other alternative dispute resolution matters. Mr. Cottrell has specific experience in designing, implementing and monitoring compliance operations, government and regulatory consent agreements as well as related activities around the world. Chip has served in a range of capacities and industries for remediation and monitorship arrangements associated with regulatory and other matters. Cottrell also served as a C-suite executive of several well-known global technology companies.

A recognized and published leader in risk management, corporate governance, ethics and compliance issues, Cottrell is a frequent lecturer in academia and board rooms around the world. With substantial experience in a number of sectors, Mr. Cottrell has led functional service areas in several Deloitte US’ practices and most recently was the Deloitte Lead Client Service Partner for several large global organizations, amongst which are consumer business & transportation companies and the United Nations. He also served Deloitte at the global level including the Risk Executive, Forensic Executive Committee, Humanitarian Council, Policy and Corporate Citizenship / Responsibility communities.

Cottrell has served as a co-Chair of the UN Global Compact Committee on Anti-Corruption, is a member of the B20 (business part of G20) and the B Team (global NGO) Anti-Corruption Working Groups and has also served as the global Deloitte Project Partner for the World Economic Forum’s Anti-Corruption and Geo-Political initiatives. He is a founding member of the Sports Integrity Global Alliance focused on financial integrity, accountability, transparency and governance within sports worldwide. His recent

focus has included regulatory and governance issues related to cyber threats and security, data analytics and cloud computing.

He has had MBA studies in Finance and Accounting at New York University and an AB in Economics and Business from Lafayette College.

EDUCATION

- M.B.A. New York University (1977 -1978)**
- PLACE Program Participant
 - Finance & Accounting
 - Sponsored by Peat, Marwick, Mitchell & Co (now KPMG)
- A.B. Lafayette College (June 1977)**
- Economics & Business
 - Cum Laude
 - Omicron Delta Epsilon

INSTRUCTIONAL EXPERIENCE/ REFERRED PRESENTATIONS

Lecturer:

- College of William & Mary, Williamsburg, VA USA
- Temple University, Philadelphia, PA USA
- Virginia Commonwealth University, Richmond, VA USA
- Deloitte University, Dallas, TX USA & Hyderabad, India
- University of Pennsylvania – Wharton School – Philadelphia, PA USA
- University of Virginia, Charlottesville, VA USA
- Washington & Lee University, VA USA
- Lafayette College, Easton, PA USA
- University Of California, Berkley – Haas School, Berkley, CA USA
- Hong Kong Polytechnic Institute, China
- Georgetown University, Washington, DC USA
- Stockholm University, Stockholm, SE
- New York University, Stern School of Business, New York, USA
- University of Richmond, Richmond, USA
- American University – Kogood School, Washington, DC USA

Adjunct Faculty:

- Stockholm University, Stockholm, SE
- Deloitte University, Dallas, TX., USA
- United Nations Staff College, Geneva, CH (legacy)
- KPMG “University”, London, UK (legacy)

Select Organizational Presentations:

(n.b. virtually all of my 200+ presentations cannot be disclosed due to client confidentiality)

- US Federal Reserve Bank of Cleveland
- US Federal Reserve Bank of Atlanta
- US Federal Reserve Bank of Richmond
- Association of Government Accountants
- Hong Kong Society of Certified Public Accountants
- Institute of Chartered Accountants in England & Wales
- World Economic Forum
- Virginia Society of Certified Public Accountants
- Institute of Internal Auditors
- American Chamber of Commerce
- American Red Cross
- State of North Carolina, Financial Group
- B20 Anti-Corruption Working Group
- The BTeam

Representative Select Topics / Course Material:

- Ethics, Compliance & Governance in the Board Room
- Forensics: “The Next Generation Calls”
- Fraud, Waste & Abuse in the Global Public Sector
- Monitorships & Regulatory Consent Agreements
- Designing, Implementing and monitoring compliance operations
- Government and Regulatory Consent Agreements
- Hot Topics in Anti-Corruption
- Beneficial Ownership Transparency
- Connection Between Corruption and Human Trafficking
- Corporate Governance Topics:
 - Management’s responsibilities to detect fraud
 - What are the Board’s responsibilities with respect to anti-corruption
- Crisis Management:
 - Governance and Ethics Lessons learned from Katrina, Hugo, Ike and Joplin
 - Applying analytics to Crisis Management
 - Crisis Management: Actively linking resources to opportunities
- Hot Topics in Analytics
- Geopolitical Risks: Unpacking ISIS and the Expanding Humanitarian Crisis in the Middle East
- Anti-corruption legislation trends
- Differences between US/UK/Brazil and China Anti-corruption regulations
- Management’s Responsibility to Detect Fraud under the US Dodd – Frank Act
- Dodd – Frank: What does it mean to Boards of Directors
- Innovations in Risk & Compliance
- Electronic Discovery: It’s now!
- Fraud, Waste & Abuse on International Disasters
- Pro Bono Service – corporate social responsibility series
- Creating an effective Fraud Risk Reduction Program

- How to Consider, Plan and Implement a Fraud Risk Assessment
- Educating Middle-School through College on Anti-corruption (UNODC program)
- Sustainable Development Goals: Can Business Keep Up?
- Measuring and Evaluating Pro-Bono Services
- Ethical Management
- Corporate Governance: Myth or Fact
- Board of Directors' Responsibilities with respect to Transparency & Accountability
- Client Experience:
 - New Manager Training
 - New Senior Manager Training
- Innovations in Risk Compliance
- Accounting 101: for (i) Lawyers and (ii) the C Suite
- Fraud & Forensic Activities for the Uninitiated
- Forensic Accounting Case Studies
- Professional Skepticism

REPRESENTATIVE PUBLICATIONS & MEDIA

"Curbing Bribery & Corruption with a Strong Culture" – contributor – *Wall Street Journal, Risk & Compliance* – September 2017

"Business for Social Impact" – presenter – *Society of International Business Fellows* – August 2017

"Bribery, Corruption & Compliance – World Class Ethics Program" – presenter – *Deloitte Debriefs* – May 2017 (best attended in Deloitte history)

"Acting Together to Restore Trust" – author – *World Economic Forum Press, Various* – March 2017

"Integrity is everyone's business: Lessons from PACI in Mexico" – co-author – *World Economic Forum Press, Various* – February 2017

"The Tarnished Brands of Sports: More Action Needed Now!" – author – *LinkedIn* – January 2017

"Business and Beneficial Ownership Transparency" – presenter and author – *International Anti-Corruption Conference* – December 2016

"Role in Business in Restoring Integrity in Sport" – co-author – *Sports Business Daily* – October 2016

"Beneficial Ownership Transparency" – presenter – *Open Contracting Partnership* – October 2016

"Corruption is Social Progress' Greatest Obstacle" – author – *The Hill* – July 2016

"Navigating the Geo-political Landscape" – co-author – *World Economic Forum* – July 2016

"SDG Actions for Business – a Humanitarian Perspective" – presenter – *World Humanitarian Summit, June 2016*

“London anti-corruption conference” – author – *Deloitte University Press, Various* – May 2016

“How do you solve a problem like corruption” – author – *Deloitte University Press, LinkedIn* – March 2016

“Sports Integrity: A beautiful game is a collective responsibility” – author – *Huffington Post, Various* – January, March 2016

“Fraud Risk Management: A Small Business Perspective” – with Dr. Megan Hess – *Business Horizons, January/February 2016*

“Safeguarding of the Aviation & Travel Value Chain Against Corruption” – contributing author, lead editor – *World Economic Forum Press* – January 2014

“Building Foundations Against Corruption” – contributing author, lead editor – *World Economic Forum Press* – January 2015

“A Guide for Anti-Corruption Risk Assessment” – lead author, lead editor – *United Nations Global Compact* – January 2014

“Beneficial Ownership Transparency” – contributing author, lead editor – *Business 20 (B20)/ G20* – November 2015

“Corporate Corruption Voluntary Self Disclosure” – contributing author, lead editor – *Business 20 (B20)/ G20* – September 2015

“An Update on Forensic Analytics” – presenter – *Association of Government Accountants* – April 2015

“Governance and Your Responsibility” – contributing author – *South China Morning Post* – September 2010

“Unconventional Careers: Professional Skeptics” – contributing author, lead editor – *Richmond Times Dispatch* – April 2010

“Measuring the SDG’s: Simplicity Will Be the Key” – author – *LinkedIn* – October 2015

“A Unique Opportunity for the Private Sector: United Nations General Assembly Week” – author – *LinkedIn* – September 2015

“Name for Fame: Towards a Corruption Free Global Chemical Industry” – coauthor – *LinkedIn* – February 2015

“A Call To Action: We Can’t Do It Alone” – author – *LinkedIn* – January 2015

“Engineering & Humanity: a business perspective” – presenter – *Southern Methodist University* – April 2011

“Unconventional Roles – A discussion with Chip Cottrell” – Podcast - *Deloitte University Press* – May 2011

International Financial Management: A Handbook for Finance, Treasury and Accounting Professionals - Rose Marie L Bukics & Bernard Katz Editors – 1991 – *International Cash Flow Statements Chapter*

“India’s Struggle with Transparency” – Interview – *CNBC* – March 2014

“African Corruption Quantified” – Interview - *Bloomberg TV* – January 2015 & 2016

“Corruption Might Hinder ‘Make In India’” *Forbes* – April 2015

CBS News: Regional US Business - 4 series broadcasts in connection with Business Topics – 2011-2013

Memberships in Professional Associations

- Chartered Institute of Arbitrators (UK), Member
- Association of Certified Fraud Examiners, Member
- American Institute of Certified Public Accountants, Member
- Hong Kong Institute of Certified Public Accountants (China), Member
- Institute of Chartered Accountants in England & Wales (UK), Member
- New Jersey State Society of Certified Public Accountants (USA), Member
- Virginia Society of Certified Public Accountants (USA), Member
- American Bankruptcy Institute, Member
- American Bar Association, Associate
- INSOL International, Member
- Society of International Business Fellows (Class of 2005), Fellow
- Association of Government Accountants, Member

Other Professional Affiliations/Certifications

- Fellow Chartered Accountant - UK
- Global Chartered Management Accountant – US/UK
- Certified Public Accountant – USA: New Jersey, District of Columbia, Virgin Islands
- Certified Public Accountant – China (Hong Kong)
- United Nations Global Compact – Anti-corruption sub-committee, Co-chairman
- Clean Business Practices Initiative – Nigeria, Advisor
- Sports Integrity Global Initiative - Founding Advisor and Board Member (2015 – Present)
- B20 (G20)– Anti-Corruption Working Group – Australia (2014), Turkey (2015), China (2016), Member
- The BTeam – Anti-Corruption Working Group – (2016 – Present)
- World Economic Forum:
 - Aerospace, Travel and Defense Project Advisor (2014)
 - Infrastructure Project Advisor (2015, 2016)

- Partnership Against Corruption Initiative Advisor (2014-2016)
- Geopolitical and Economic Security Project Advisor (2015, 2016)

Select Board/Committee Appointments

- **Deloitte** – Global Risk Executive (2016- current), Global Forensic Executive (2015-2017)
- **World Economic Forum** – Partnership Against Corruption Initiative (2013- current) - Board co-Chair delegate
- **United Nations** – Office for Project Services Agency (2017- current) – Strategic Advisory Group of Experts Board, Audit Advisory & Governance Committee
- **American Red Cross** – Virginia Region -3rd largest Red Cross regional operation in the world (2001-2007/2011-2017) - Audit Committee, Succession Committee, Finance Committee
- **PASS, LLC** – global development and consulting company – Senior Advisory Board (2017 – current)
- **Neveragain.org** - creating innovative technologies designed for human protection and molding private sector information technology solutions to the humanitarian community’s needs (2014- current) - Chairman of the Board of Directors, Audit Committee, Succession Committee
- **Stockholm University** – eGovLab - Board of Directors, Governance Committee (2017-present)
- **BGI4AI** – Hastings Institute and Yale University affiliated NGO, developing governance infrastructure for Artificial Intelligence community – initially focused on defense industry – Member of Executive and Governance committees (2016- current)
- **Sports Integrity Global Alliance** - an organization focused on financial integrity, accountability, transparency and governance within sports worldwide (2015- current) – Founding member of Executive Committee, Governance Committee, Operating Committee and Succession Committee
- **Society of International Business Fellows** – A member led global organization of peer leaders. CEOs, senior executives and professionals committed to building success at a global level (2015- current) – Audit & Finance Committees

PROFESSIONAL EXPERIENCE

2002-2017 **Partner-Deloitte LLP/Deloitte Financial Advisory Services LLP** (Washington, USA)
Deloitte is known as one of the “Big 4” Accountancy & Consultancy professional services firms. Deloitte Financial Advisory Services provides forensic accounting, corporate investigation, and litigation support services to help companies respond to fraud allegations and commercial disputes.

Select Leadership Positions Held:

- Global Chief Ethics Officer
- Chief Corporate Responsibility & Sustainability Officer
- Global Risk Executive
- Regional Practice Leader -Forensic Audit Advisory Services

- Regional Practice Leader -Financial Advisory Services, Reorganization Services/Restructuring Services Group
- Global Lead Client Service Partner: United Nations (and others non-disclosed)
- U.S. Federal Practice – Sector Practice Leader
- Global Leader – Public Sector Crisis Management
- Global Leader – Corporate Citizenship/Responsibility
- Global Forensic Services Executive Committee
- US Global Office: Country Relationship Leader – India
- US Global Office: SE Asia Relationship Partner
- National Leader: Superstorm Sandy Response
- Global Public Sector Executive Committee
- Global Humanitarian Council
- US Firm Lead Functional Recruiting Partner: UVA / College of William & Mary
- Risk Evaluation & Due Diligence Partner – Federal Practice Acquisitions
- Global Delegate to WEF Annual Meeting (Davos) (Deloitte 2014-2016)

- 2000- 2002 **Partner - Dixon Hughes Goodman & Company** (Richmond, VA USA)
Partner-in-Charge of the Corporate Transition and Recovery Group
- 1999 – 2000 **President & Chief Operating Officer – Experient Technologies, Inc.**
(Richmond, VA. USA) *Founding executive of this technology company which developed platform software for e-learning and related applications; also refined and distributed video email technologies.*
- 1994 – 1999 **Global Managing Director & Chief Operating Officer – Hand Held Products, Inc. (now Honeywell Inc.)**(Charlotte, N.C. USA) *Served as the turnaround leader for the financial and operational recovery of this portable data collection hardware and software company.*
- 1991 – 1994 **Managing Director - Peregrine Capital Ltd (now BNP Paribas)**
(Hong Kong, China & Yangon, Myanmar) *Regional leader of this high profile Merchant and Investment Bank, a spin out of Citibank’s Asian operations.*
- 1988 – 1991 **Senior Manager/ Director - Deloitte & Touche LLP** (New York, N.Y. USA) *Member of leading Mergers & Acquisition transaction and due diligence team.*
- 1977 – 1988 **Senior Manager / Associate Director - Peat, Marwick, Mitchell & Co** (now KPMG)
(New York, N.Y. USA; London, UK; Hong Kong, China; Amsterdam, Netherlands; Singapore; Tokyo, Japan) *Foundational and rapidly increasing responsibilities within the Audit, Consultancy, Advisory practices within this “Big Eight” accounting based professional services firm.*
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SPECIALIST AREAS & INDUSTRY EXPERTISE

Specialist Areas

- Crisis Management
- Financial Crimes
- Geopolitical Risk Analysis
- Corrupt Practices Acts Compliance and Related Investigations
- Alternative Dispute Resolution (Arbitration & Mediation)
- Dispute Litigation Support
- Forensic Investigations
- Asset Tracing & Recovery
- Corporate Recovery
- Business Turnaround/ Workout Services
- Corporate Finance
- Asset Liquidation
- Mergers & Acquisition
- Transition Evaluation and Implementation
- Corporate Citizenship/Responsibility
- Migration / Border Management
- Implementation of Sustainable Development Goals
- Social Impact Investing
- Humanitarian Response

Industry Experience:

- Government and Public Sector
- International Organizations
- Financial Services
- Technology
- Media & Communications
- Transportation: Airlines, Marine Freight and Rail
- Hospitality
- Healthcare: Providers and Servicing
- Pharmaceutical: Manufacturing & Distribution
- Government Contracting
- Manufacturing & Distribution
- Logistics Management
- Real Estate